

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

*#sector*  
*Hq*  
PATENT  
APPLICATION

Attn: Application Division

In re PATENT APPLICATION of  
Inventor: PIRKEY et al.  
Appn. No.: 09/732,333  
Filed: December 6, 2000  
Title: "Enhanced PIN-Based Security Method and Apparatus"

Atty. Dkt. 10942/269227 |  
(Our Deposit Account No. 03-3975)  
(Our Order No. 0269227 | AWT-002 (u)  
TMC# | Client Ref

Date: June 2, 2001 = Saturday  
June 4, 2001

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy enclosed ☐ not yet received
2. ☒ Signed Declaration enclosed ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded assignment to the undersigned.
4. ☒ Attorney for the Applicant hereby asserts qualification for "small entity" status under Rules 9 & 27.

5. Basic Filing Fee.....	\$710 / 355	\$	355.00	101/201
6. Total Claims	30	minus 20 =	10	x \$18/\$09 + 90.00 103/203
7. Independent Claims	4	minus 3 =	1	x \$80/\$40 + 40.00 102/202
8. Surcharge for filing Declaration/filing fee late	\$130/\$65	+	65.00	105/205
9. FILING FEE ENCLOSED = \$ 550.00				
10. Original due date: May 2, 2001				
11. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.	\$110/55 \$380/190 \$870/435 \$1510/755		\$55.00	
11. If "assignment" box is X'd, add recording fee.....	\$40	+	40.00	581
13. TOTAL FEE: =			645.00	

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any missing or insufficient fees required under Rules 16-18 relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

1100 New York Avenue, N.W.  
Ninth Floor, East Tower  
Washington, D.C. 20005-3918  
Tel: (650) 233-4777  
Fax: (650) 233-4040

PILLSBURY WINTHROP, LLP  
By: Mark J. Danielson, Reg. No. 40,580

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on June 4, 2001.

  
Jeanette Cooks

06/08/2001 HVUOM61 00000036 09732333

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/732,333	12/06/2000	Roger D. Pirkey	10942 269227

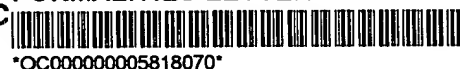
**RECEIVED**

CONFIRMATION NO. 1489

PILLSBURY MADISON & SUTRO LLP  
1100 New York Avenue, N.W.  
Ninth Floor  
East Tower  
Washington, DC 20005-3918

PILLSBURY WINTHROP LLP/DC

FORMALITIES LETTER



MAR 08 2001

CL# 10942 MT# 269227  
ATTY(S) DJS/MTA  
DUE: 5/2/01  
DKT BY (1) (S) (2) DFW

Date Mailed: 03/02/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$260.
  - \$180 for 10 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1100.

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

06/08/2001 HVUW61 00000036 09732333  
01 FC:201  
02 FC:203  
03 FC:202  
04 FC:205  
355.00  
90.00  
40.00  
65.00